

Donor Annuities Sworn Statement

Pursuant to 690-202.012, F.A.C.

Scope Period: Calendar Year

Deadline due 60 days after the Company's Fiscal Year

According to the Florida Office of Insurance Regulation's (Office) records, the company named above is required to submit the company's Sworn Statement In lieu of Annual Statements for Issuers of Donor Annuity Agreements form attesting that the issuer has met all requirements of the law.

Use the Insurance Regulation Filing System (IRFS) at <https://irfs.fldfs.com/> to submit your filing.

STEPS FOR PROCESSING AND REPORTING DATA TO THE OFFICE OF INSURANCE REGULATION:

Go to the My Account in the upper right. From My Account, you can update your username, password, and profile as well as maintain the list of companies for which you are eligible to file (this is the "Entity Management" option). Before you can create a filing, you must select at least one company from Entity Management.

Next create the filing for your company by clicking on Create Filing on the upper right. Click "Begin" then you will see four steps across the top of the screen. You only need to complete Steps One, Two and Four for this data call. For Step One select your company (then click "Next" below). For Step Two select the data call for Donor Annuity/Donor Annuity Agreements/Donor Annual filing. You may skip Step Three, which is for group filings (not permitted with this data call). At Step Four confirm the filing and it will be on your Workbench when you "OK" the action. View and edit your filing on the Workbench by clicking the Filing ID, which is shown as an underlined number on the first column to the left. The detailed steps from this point are in the next section.

DETAIL STEPS FOR COMPLETING COMPONENTS OF THIS DATA CALL:

Select components by clicking directly on the plus sign "+", which opens the component. Some components include additional instructions after they are opened.

REQUIRED COMPONENTS:

- Select the Contacts Component. This is a REQUIRED COMPONENT for all data calls. All subscribed email accounts to your company will be listed and any may be added as "cc:" contacts, meaning they will also receive notice of your filing. (Contact us if an unknown account appears!) Here you may also designate another account as the primary filer for your filing (i.e., should you be doing this on behalf of someone else who is temporarily away). There is an open box below that allows you to include additional email addresses that are not subscribers of the company. Include all you need, separating each email account with a semicolon and space ("; "). When done click on the "Save" button.
- Select the Donor Annuity Survey Component and respond to the questions.

- Select the Donor Annuity Sworn Statement. A PDF of the completed, notarized Sworn Statement must be uploaded in this component. The sample Sworn Statement can be downloaded.
- Other Information/Documents - Upload any supporting information in the available formats needed to explain any data or other portion of the filing.
- The “Response to Request for Clarification” is for future use in case additional information is needed.

When all mandatory components are marked "Complete" your filing may be submitted to OIR by clicking on the SUBMIT button above the listed components.

Your submission is considered filed only after you receive an email receipt showing your Filing ID. You will receive only one receipt under the IRFS system. If the receipt does not arrive after a few minutes refresh your Workbench and check the Submission Status. It will either read “Submitted” or “Received”. If it continues to show “Submitted” then determine what was not completed and re-submit the filing or contact the Market Research and Technology Section to notify us of a problem.

If you have any questions regarding this filing process, please feel free to contact us.

Florida Office of Insurance Regulation
Market Research Unit
(850) 413-3147
Research@floir.com

